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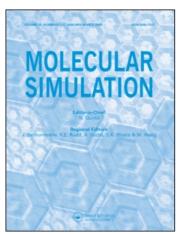
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# Geometrical deformation and failure behavior of $C_{60}$ fullerene dimer under applied external electric field

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By the quantum-molecular dynamics (QMD) technique based on the Roothaan–Hall equation and the Newton motion law, geometrical deformation and failure behavior of  $C_{60}$  fullerene dimer ( $2C_{60}$ ) as well as single  $C_{60}$  fullerene under applied external electric field are simulated. Further, the effects of the electric field direction on the electric field-induced deformation, polarization-charge distribution and dipole moment of the fullerene molecules are discussed systemically. It is found that the geometrical configuration and failure behavior of the  $2C_{60}$  molecule are sensitive to the electric field direction, that when the electric field direction is parallel to the bridging C-C bonds of the  $2C_{60}$  molecule the  $2C_{60}$  fails easily, and that when the electric field direction is perpendicular to the  $2C_{60}$  fails difficultly and has the same polarization and failure mechanism as the single  $C_{60}$ .

Keywords: C<sub>60</sub> dimer; Fullerene; Geometry configuration; Failure; External electric field

#### 1. Introduction

Carbon fullerene, a novel form of carbon first discovered in 1985 [1], has been recognized as important nanoscopic system [2]. Due to its exceptional electric, optical and mechanical properties, carbon fullerene, especially the most common  $C_{60}$  fullerene, has been attracting scholars' attention [2–6]. In 1994, chain-like  $C_{60}$  polymers were also found by Pekker *et al.* [5]. Among the polymers, the simplest  $C_{60}$  dimer (2 $C_{60}$ ) was proved to have excellent electric and optical properties [7,8]. However, up to now there is little report on the electric field-induced failure of the 2 $C_{60}$ .

Considering the above reason, in this paper, the quantum-molecular dynamics (QMD) technique based on the Roothaan–Hall equation and the Newton motion law is used to calculate the deformation, polarization-charge distribution and molecular dipole moment of the  $C_{60}$  dimer under the external electric-field with different intensity and direction. For comparison, the similar simulation is also performed to one single  $C_{60}$  fullerene. At last, according to the computed results, the geometrical configuration and failure behavior of the  $2C_{60}$  and  $C_{60}$  molecule are discussed. Some interesting conclusions are given in the present paper, which are very valuable for people to cognize the physical properties of the  $C_{60}$  dimer.

#### 2.1 The investigated objects

Figure 1 shows the  $C_{60}$  dimer to investigate. The dumb-bell-shaped molecule has two  $C_{60}$  fullerenes, the two fullerenes are bridged each other through a pair of parallel C-C bonds (called as "bridging C-C bonds" here) and each  $C_{60}$  fullerene sphere consists of 12 regular pentagon and 20 regular hexagon faces. The external electric field is applied in the direction of the x-, y- or z-axis, and the electric field intensity  $E_{\rm e}$  takes between 0 and 0.13 au. For the convenience of comparison, the electric field-induced deformation and failure of the single  $C_{60}$  fullerene is simulated as well. The initial diameter  $d_0$  of both the single  $C_{60}$  fullerene and the  $C_{60}$  fullerenes of the  $2C_{60}$  molecule is of about 0.71 nm, and the initial length  $l_0$  of the bridging C-C bonds of the  $2C_{60}$  is of about 0.15 nm.

#### 2.2 The QMD method

In the present paper, the QMD technique [9] is used to simulate the electric field-induced deformation and failure of the  $2C_{60}$  and  $C_{60}$  molecule. In the simulation technique, the positions and velocities of the carbon atoms in the

<sup>2.</sup> Model

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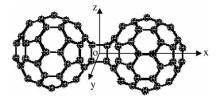


Figure 1. The  $C_{60}$  fullerene dimer.

 $2C_{60}$  or  $C_{60}$  molecule are predicted with Newton's equation:

$$\ddot{r}_i = \frac{F_i(r_i)}{m_i} \tag{1}$$

$$F_i = -\frac{\partial E}{\partial r_i} \tag{2}$$

where  $\ddot{r}_i$ ,  $r_i$ ,  $F_i$  and  $m_i$  are the acceleration, coordinates, resultant force and mass of the *i*th carbon atom, respectively. The molecular energy E in equation (2) is determined by the Schrödinger equation:

$$\mathbf{H}\Psi = E\Psi \tag{3}$$

where  $\mathbf{H} = \mathbf{H}_0 + V(r)$ .  $\mathbf{H}_0$  is the Hamilton operator of the molecular systems, V(r) the electric potential of the external electric field and  $\Psi$  the wave function of the  $2C_{60}$  or  $C_{60}$  system.

In fact, it is difficult to strictly solve any multi-atomic system by the above Schrödinger equation. Accordingly, the Born-Oppenheimer assumption, by which the motion of electrons and nuclei can be decoupled, and the Hartree–Fock assumption, by which the multi-atomic problem in equation (3) can be simplified into a single-electronic problem, are often used so that equation (3) can be approximately replaced by the Hartree–Fock equation below:

$$\mathbf{H}_i \Psi_i = \varepsilon_i \Psi_i \tag{4}$$

where  $\mathbf{H}_i$  is the effective single-electronic Hamilton operator,  $\Psi_i$  the *i*th molecular orbital (MO) and  $\varepsilon_i$  the energy of the MO  $\Psi_i$ .

When the assumption of the linear combination of atomic orbitals (LCAO) is used,

$$\Psi_i = \sum_{\mu} C_{\mu i} \Phi_{\mu},$$

in which  $\Phi_{\mu i}$  is the  $\mu$ th atomic orbital (AO) and  $C_{\mu i}$  the coefficient corresponding to the AO  $\Phi_{\mu i}$ .

By means of the close-shell model and the restricted Hartree–Fock method (RHF method) [10], equation (4) can be translated into the following matrix form, i.e. the Roothaan–Hall equation [11]:

$$FC = SCE \tag{5}$$

Where, **F** represents the Fock matrix, **S** the overlapping integrals matrix, **C** the coefficient matrix and **E** the orbital diagonal energy matrix. Using the self-consistent field (SCF) method, we can solve equation (5) to obtain the molecular energy E.

In [9], the semi-empirical PM3 QM method [10] is used to investigate the electric field-induced failure of carbon nanotubes. Considering the calculation efficiency, the semi-empirical QM method is also adopted here. All the pre-, post-processes and computations are carried out in the quantum-chemical software of Hyperchem 7<sup>®</sup> [12]. In the simulations, the time-step for MD calculations takes 0.001 ps and the convergence limit 0.01 kcal/mol.

#### 3. Results and discussion

Figure 2 presents the molecular configurations of the  $2C_{60}$  under the x-axis electric field with different intensity. In the figures, the polarization-charge on each

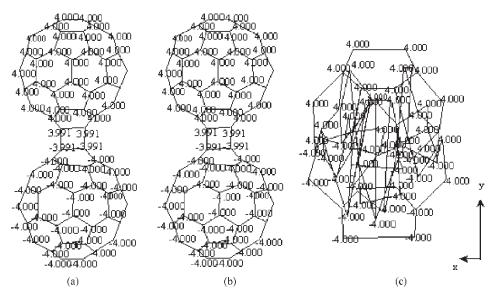


Figure 2. The  $2C_{60}$  under the x-axis electric field with the intensity of: (a) 0.01 au, (b) 0.02 au and (c) 0.022 au.

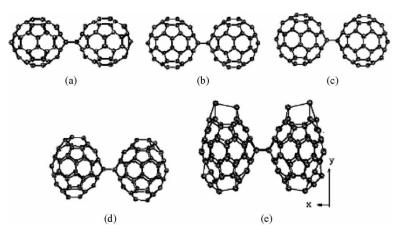


Figure 3. The 2C<sub>60</sub> under the y-axis electric field with the intensity of: (a) 0 au, (b) 0.05 au, (c) 0.075 au, (d) 0.10 au and (e) 0.125 au.

carbon atom is marked. The unit of the net-charge is elementary electronic charge. Figures 3 and 4 show the molecular configurations of the 2C<sub>60</sub> under the y- and zaxis electric field, respectively. Figure 5 presents the configurations of the single  $C_{60}$  under electric field. Figure 6 shows the polarization-charge distribution of the 2C<sub>60</sub> molecule under the y-axis electric field with different intensity. The charge distribution and electronic density of the 2C<sub>60</sub> molecule under z-axis electric field, as well as the single  $C_{60}$  molecule under electric field, are similar to the cases in figure 6, so they are not shown here. Figure 7 presents the change of the diameter d of the single  $C_{60}$  and the  $C_{60}$  of the  $2C_{60}$  molecule under electric field, where the d refers to the diameter parallel to the electric field direction. In figure 7, the change of the length l of the bridging C-C bonds in the  $2C_{60}$  under the x-, y- and z-axis electric field is shown as well.

According to figures 2-7, it can be found that:

(1) The geometrical evolvement of the  $C_{60}$  fullerenes of the  $2C_{60}$  molecule under the y- and z-axis electric field is similar to that of the single  $C_{60}$  under electric field. When the applied electric field intensity  $E_{\rm e} < 0.05$  au, both the single  $C_{60}$  and the  $C_{60}$  of the  $2C_{60}$  molecule are slightly elongated along the electric field direction, and when the  $E_{\rm e} > 0.05$  au,

- they are elongated markedly and become ellipsoids. Their maximal elongation  $(d/d_0)_{\rm max}$  along the electric field direction can even reach 152  $\sim$  162% before they fail. The  $(d/d_0)_{\rm max}$  values are listed in table 1. When the  $E_{\rm e}$  increases to certain critical value  $E_{\rm c}$ , the single C<sub>60</sub> and the C<sub>60</sub> of the 2C<sub>60</sub> molecule fail and some of their C–C bonds are broken as shown in figures 3–5(e). The critical  $E_{\rm c}$  values are tabulated in table 1 as well.
- The geometrical evolvement and failure behavior of the  $2C_{60}$  under the x-axis electric field is apparently different from those of the single  $C_{60}$  as well as the 2C<sub>60</sub> under the y- and z-axis electric field. First, the critical intensity  $E_c$  of the x-axis electric field, under which the 2C<sub>60</sub> molecule fails, is very small, and only about 0.021 au. Secondly, contrary to what we expected, two bridging C-C bonds of the 2C<sub>60</sub> molecule do not break under the x-axis electric field, and the two C<sub>60</sub> fullerenes collide into each other when the  $E_{\rm e} \approx E_{\rm c}$  and become into a cocoon-like  $C_{120}$  atomic-cluster as shown in figure 4(c). Thirdly, the 2C<sub>60</sub> under the x-axis electric field has the  $(d/d_0)_{\text{max}}$  about only 108%, which is much less than those of the single  $C_{60}$  and the  $2C_{60}$  molecule under the y- and z-axis electric field. The  $(d/d_0)_{\text{max}}$  values of the single C<sub>60</sub> and the 2C<sub>60</sub> molecule under the y-

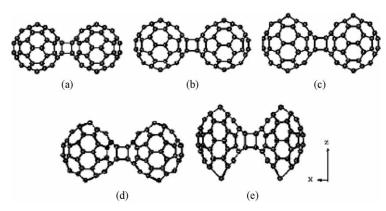


Figure 4. The 2C<sub>60</sub> under the z-axis electric field with the intensity of: (a) 0 au, (b) 0.05 au, (c) 0.075 au, (d) 0.10 au and (e) 0.125 au.

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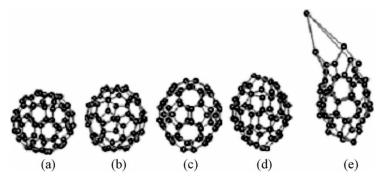


Figure 5. The C<sub>60</sub> molecule under the electric field with the intensity of: (a) 0.0 au, (b) 0.025 au, (c) 0.05 au, (d) 0.075 au and (e) 0.125 au.

- and z-axis electric field are 152, 158 and 162%, respectively (see table 1).
- (3) Under the y- and z-axis electric field, the two  $C_{60}$ fullerenes of the 2C<sub>60</sub> molecule have negative polarization-charge on their carbon atoms close to the anode of the external electric field, and have positive charge on those close to the cathode (see figure 6). Under the x-axis electric field, the entire  $C_{60}$  of the  $2C_{60}$  close to the anode of the external field has negative charge, and the other one has positive charge (see figure 2). The polarization phenomenon makes the single  $C_{60}$  and the  $2C_{60}$ molecule to be elongated along the electric field direction. When the electric field intensity  $E_{\rm e}$  reaches certain value  $E_s$  (called as "saturated field-intensity" here), the above "polarization phenomenon" tends to saturation, i.e. with the further increase of the electric field intensity, the net-charge on each carbon atom tends to saturation. The saturated charges of the atoms close to the anode and cathode are +4 and -4 electronic charge per atom, respectively (see figures 2 and 6). The  $E_s$  values of the  $C_{60}$  and 2C<sub>60</sub> molecule are listed in table 1.
- (4) Under the x-, y- and z-axis electric field, all the
- bridging C-C bonds of the 2C60 molecule are elongated, see the  $l/l_0 - E_e$  curves in figure 7, but the bridging C-C bonds are not observed to break up all the while. Here, the *l* is the length of the bridging C-C bonds. However, the mechanism of the  $2C_{60}$ under the x-axis electric field is different from those under the y- and z-axis field. Under the x-axis electric field, the bridging C-C bonds are elongated along the electric field direction due to the polarization (see figure 2), but, under the y- and z-axis electric field, the elongation of the bridging C-C bonds mainly results from the following reasons: due to the molecular polarization, Regions 1 and 2 of the 2C<sub>60</sub> molecule take positive charge (see figure 6(c)), Regions 3 and 4 negative charge, Regions 1 and 2 exclude each other, Regions 3 and 4 exclude each other too, and the repulsive forces elongate the bridging C-C bonds.
- (5) The geometrical deformation, failure behavior, maximal elongation  $(d/d_0)_{max}$ , critical field-intensity  $E_c$  and saturated field-intensity  $E_s$  of the  $2C_{60}$  molecule under the *x*-axis field are significantly different from those under the *y* and *z*-axis field (see figures 2–7 and table 1), but the geometrical

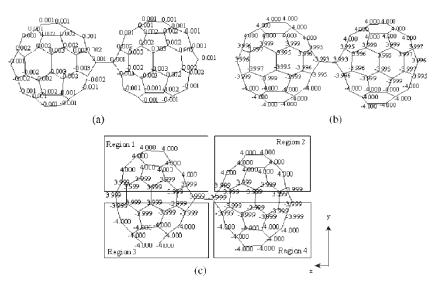


Figure 6. The 2C<sub>60</sub> molecule under the y-axis electric field with the intensity of: (a) 0.025 au, (b) 0.05 au and (c) 0.1 au. (The electric field direction: ↑).

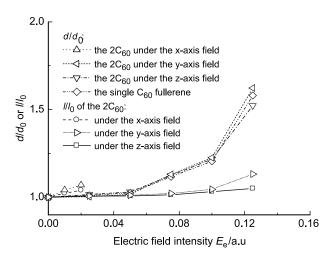


Figure 7. The deformation of the  $C_{60}$  and  $2C_{60}$  under the electric field.

deformation, failure behavior,  $(d/d_0)_{\rm max}$ ,  $E_{\rm c}$  and  $E_{\rm s}$  of the 2C<sub>60</sub> molecule under the *y*- and *z*-axis field are comparatively close to those of the single C<sub>60</sub> fullerene.

For further investigation of the geometrical evolvement and failure behavior of the  $2C_{60}$  molecule under the x-axis electric field, figure 8 still presents the change of the dipole moment for the single  $C_{60}$  as well as the  $2C_{60}$  molecule with the external electric-field intensity  $E_{\rm e}$ . From figure 8, it can be found that when the  $E_{\rm e}$  reaches the  $E_{\rm s}$ , the dipole moment of the molecules tends to saturation that the saturated dipole moment of the  $2C_{60}$  molecule under the x-axis electric field is about 2.5-3 times bigger than those under the y- and z-axis electric field, and the curves of the dipole-moment vs.  $E_{\rm e}$  of the  $2C_{60}$  molecule under the y- and z-axis electric-field are very close. Apparently, the difference in the polarization dipole-moment brings the different geometrical evolvement and failure behavior of the  $2C_{60}$  molecule.

According to figures 3-8 and table 1, We have enough reason to believe that the  $2C_{60}$  molecule under the y- and z-axis electric-field has the similar deformation mechanism and they can be regard as the case of two side-by-side  $C_{60}$  fullerenes under external electric-field because the  $2C_{60}$  molecules under both the y- and z-axis electric-field have not only just twice dipole-moment of the single  $C_{60}$  fullerene under the same electric-field intensity (see figure 8) but the same failure pattern as the single  $C_{60}$ .

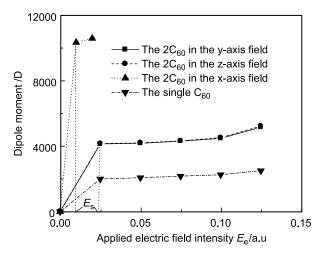


Figure 8. The change of the dipole moment for the  $C_{60}$  and  $2C_{60}$  molecule with the electric field intensity  $E_{\rm e}$ .

Additionally, in order to validate the present calculations the semi-empirical AM1 method is also used to simulate the  $2C_{60}$  under different electric-field direction. The AM1 results are found to be similar to the PM3 ones.

#### 4. Conclusions

The QMD technique is used to simulate the geometrical deformation and failure behavior of the  $C_{60}$  fullerene dimer ( $2C_{60}$ ), as well as the single  $C_{60}$  fullerene, under external electric field with different direction and intensity. According to the calculated results, the following conclusions can be obtained:

- (1) The 2C<sub>60</sub> molecule has the geometrical configuration and failure behavior sensitive to the electric field direction. When the direction of the applied electric field is parallel to the bridging C-C bonds of the 2C<sub>60</sub> molecule, namely along the present x-axis, the 2C<sub>60</sub> fails easily, and have the unusual failure behavior.
- (2) When the electric field direction is perpendicular to the bridging C-C bonds, namely along the present x- or z-axis, the 2C<sub>60</sub> has the same polarization and failure mechanism as the single C<sub>60</sub> molecule under external electric field.

Table 1. The maximal deformation, critical and polarization saturation field-intensity of the  $C_{60}$  and  $2C_{60}$  molecule.

	The 2C <sub>60</sub>			
	Under the x-axis field	Under the y-axis field	Under the z-axis field	The single $C_{60}$
Maximal deformation $(d/d_0)_{\text{max}}$ (%)	≈ 108	≈ 152	≈ 158	≈ 162
Critical field-intensity $E_c$ /au	≈ 0.021	≈ 0.124	≈ 0.122	$\approx 0.123$
Saturation field-intensity $E_s$ /au	≈ 0.010	≈ 0.025	≈ 0.024	≈ 0.025

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